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PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 2271/75146

J.S. APPLICATION NO. (If Incomp., 200-27 CFR 1

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE PCT/JP2005/001863 2 February 2005 9 February TITLE OF INVENTION LIQUID EJECTION HEAD, LIQUID CARTRIDGE, LIQUID EJECTION APPARATUS. FORMING APPARATUS AND MANUFACTURING METHOD OF LIQUID EJECTING HEAD APPLICANT(S) FOR DO/EO/US Kenichiroh HASHIMOTO Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31).  $\mathbf{x}$ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: لعا 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. x A power of attorney and/or change of address letter. 17 I A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER /5497 A CT/JP2005/001863 Other items or information: cover page of PCT Publication No. WO 2005/075200 Al, PCT Request 20. Notification Of Transmittal Of The International Search Report And The Written Opinion Of The International Searching Authority, Or The Declaration, Written Opinion Of The International Searching Authority and Notification Concerning Submission Or Transmittal Of Priority Document. The following fees have been submitted CALCULATIONS PTO USE ONLY 21. X \$ 300.00 22. X Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared \$ 200.00 All other situations.....\$200 Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an \$ 500.00 International Searching Authority.....\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB......\$400 All other situations......\$500 \$1,000.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) /50 = x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). **CLAIMS** NUMBER EXTRA NUMBER FILED RATE \$ Total claims 21 - 20 = \$ 50.00 x \$50 Independent claims 10 - 3 = \$200 \$ 1,400.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 \$1,450.00 **TOTAL OF ABOVE CALCULATIONS =** Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(i)). \$ 2,450.00 **TOTAL NATIONAL FEE =** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied 40.00 by an appropriate cover sheet (37 CFR 3.28, 3.31), \$40.00 per property \$ 2,490.00 TOTAL FEES ENCLOSED = Amount to be refunded: Amount to be \$ charged

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A duplicate copy of this sheet is enclosed.  c.   The Commissioner is hereby authorized to charge any Account No. 03-3125. A duplicate copy of this she	_ to cover the above fees is enclosed. in the amount of \$ to cover the above fees.  additional fees which may be required, or credit any overpayment to Deposit eet is enclosed.  formation on this form may become public. Credit card information should not
be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.	
Customer Number 23432 Ivan S. Kavrukov, Esq. Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036 Tel.: 212-278-0400	SIGNATURE  Paul Teng NAME  40,837  REGISTRATION NUMBER

## JC05 Rec'd PCT/PTO 19 SEP 2005 10/549788

Dkt. 2271/75146

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Kenichiroh HASHIMOTO

Serial No.

Not Yet Known

Int'l Appl'n No.: PCT/JP2005/001863

Date Filed

Concurrently Herewith

Int'l Filing Date: 2 February 2005

For:

LIQUID EJECTION HEAD, LIQUID CARTRIDGE, LIQUID EJECTION APPARATUS, IMAGE FORMING APPARATUS AND MANUFACTURING

METHOD OF LIQUID EJECTING HEAD

**Mail Stop PCT** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 ATTN: DO/EO/US

Sir:

#### **EXPRESS MAIL LETTER OF TRANSMITTAL**

Express Mail mailing label number: <u>EV553661052US</u>

Date of Deposit: September 19, 2005

I hereby certify that the above-identified new application, consisting of three pages of the Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371 (in duplicate), a copy of the International Application as filed [including 80-page specification with claims 1-21, Abstract and 13 sheets of formal drawings (Figs. 1-17)], Declaration And Power Of Attorney (3 pages), Assignment and Recordation Cover Sheet (4 pages total), Information Disclosure Statement (including Form PTO-1449 and copies of references), cover page of PCT Publication No. WO 2005/075200 A1, PCT Request, Notification Of Transmittal Of The International Search Report And The Written Opinion Of The International Searching Authority, Or The Declaration, Written Opinion Of The International Searching Authority, Notification Concerning Submission Or Transmittal Of Priority Document, a check in the amount of \$2,490,00 and return stamped postcard, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, ATTN: DO/EO/US.

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Attorneys for Applicant: IVAN S. KAVRUKOV, Reg. No. 25,161 PAUL TENG, Reg. No. 40,837 Cooper & Dunham LLP 1185 Avenue of the Americas New York, N.Y. 10036

Tel: (212) 278-0400